



**Description****Field of the Invention**

5 [0001] The present invention relates to an apparatus and a process capable of rapid detection and counting of rarely occurring mammalian cells in blood and other tissues which have been labeled with a fluorescent dye.

**Background of the Invention**

10 [0002] The automated detection of small quantities of molecules or cells which have been labeled with a fluorescent dye is a well understood and often practiced element of diagnostic medicine. Traditionally, the detection and quantification follows one of three general forms:

- 15 \* in the first form ("solution chemistry"), the molecules are detected after they are released from the cells that carry them and the resulting fluorescent intensity is related to the molecular concentration.
- \* in the second form (flow cytometry) intact cells are caused to flow past a sensitive fluorescent detection station after labeling with a fluorescent dye. The labeled cells are then counted as a fraction of the total cells.
- \* in the third form (image analysis) the labeled tissue is presented to an automated microscope on a solid substrate and the cells are detected and counted through an analysis of the image formed by the microscope. Typically, the 20 image is scanned with a small laser spot to excite the fluorescent molecules.

[0003] In each of these forms, there is a significant limitation in the sensitivity which effects their application to the detection of rare events. This limitation may be particularly severe in the practical application of the modern technology of molecular diagnostics.

25 [0004] It is increasingly necessary in biological or anatomo-pathological research or routine diagnostics analysis to detect a small number of abnormal cells among a large number of normal cells. Such examples of abnormal cells are tumor cells or cells which have been infected by a virus. Such abnormal cells can be identified by a fluorescent label which addresses either a component of the cells, such a specific protein or genes through techniques such as hybridization *in situ*, PCR *in situ*.

30 [0005] In many medical situations, it would be of great benefit to detect and count accurately and rapidly, on a large number of samples, a very low number of abnormal cells in each sample. For example, an early detection of a few tumor cells in a biopsy may permit an intervention before the dissemination of tumor cells and prevent extension of the cancer tumor.

35 [0006] Another example relates to the early detection and monitoring of the number of cells penetrated by an invading virus (such as HIV). An early detection may help preventing contamination of other persons, and a close monitoring may be very valuable for the treatment of the disease.

[0007] For this type of application, the sensitivity of detection must be very high as it is important to detect one single abnormal cell among more than  $10^5$  other cells.

40 [0008] In order to get statistically valid information, it is in some respect desirable to count around 100 abnormal cells, which means examining other  $10^7$  total cells.

[0009] The visual examination of the sample spread over a solid support with a microscope is a tedious process, very time consuming. It is complicated by the presence of other fluorescent material. When searching abnormal cells with a microscope, a large surface has to be viewed, and the risk of missing one abnormal cell is high.

45 [0010] The utilisation of confocal microscopy or image analysis permits the detection of the abnormal cells (rare events). However, in practical applications, the scanning is slow and the area analysed is small.

[0011] Indeed, the principal technological issues in detecting rare cells such as those occurring in the examples cited above with either flow cytometry or image analysis are illustrated by considering the detection signal to noise ratio, or more specifically the probability that a false signal will be detected. It is well known that the probability of a false signal will increase linearly with the amount of time that the detector is required to look for the rare event. Thus, to maintain 50 the same ability to discriminate between false and true signals, the required ratio of signals to noise must increase as the probability of a true signal decreases. Alternatively, for a given signal to noise ratio, there is a limit in terms of the frequency of occurrence below which an event cannot be reliably detected.

55 [0012] There is one means of overcoming the limitation cited above which is only applicable to the third form of analysis (image analysis). In this form since the cells are fixed to a solid support it is possible to re-analyze the specimens and discriminate against false signals in the second analysis. Thus, one means which is well known of improving the rare event detection capability of an automated microscope is to first analyze the specimen in a coarse fashion and then return in a second pass to examine in more detail the suspected positive signals. However, this technique is still limited in the speed in which the analysis is made. This limitation results from the fact that the probability of detection

must be maintained in the first coarse scan. Thus, in fluorescent detection the illuminating spot size must remain small enough to achieve sufficient intensity, and the scan must be slow enough to enable a positive recognition of the rare event on the first pass.

[0013] In order to better understand this problem, it is useful to note that in electronic imaging systems the resultant image is made up of individual picture elements (pixels). In the current state of the art of electronic imaging, even the best video cameras can only form images of as many as 100,000 or 1 million pixels. However, the diameter of a single cell is typically in the order of 10  $\mu\text{m}$  while the surface of the solid support to review is in the order of 5  $\text{cm}^2$ . Thus, if we consider one pixel to be the size of a cell, it would take around 30 million pixels to cover the entire support.

[0014] As a result either a single picture element (pixel) must be made much larger than the dimensions of a single cell or the analysis must include many sequential images. However, neither of these approaches is satisfactory. In the first case, the sensitivity of detection is lowered while, in the second case the time and complexity of analysis are limiting factors.

[0015] As a consequence, in actual practice, a small area of the sample spread over the solid support is analysed by this technique, which may be acceptable for research purposes but is not acceptable for routine *in vitro* diagnostic testing in view of potential false negative results, with severe medical applications.

[0016] Another limitation of this technique is in the data processing. Since, in the case of two scans, the positive event is only confirmed after completion of the second analysis, the data processing apparatus must maintain a complete record of the first analysis. In rare event detection the occurrence of a positive element is so infrequent that data from more than a million negative elements may have to be stored for each positive event.

[0017] In addition, the labelling of the searched cells may be fragile and rapidly decreasing with time, with the result that a second scanning may lead to false negative results.

[0018] Lastly, the technique does not lend itself easily to the development of appropriate procedures to automatically discriminate the fluorescent cells searched from the various particles present on the sample which are either autofluorescent or made fluorescent by adsorption of the dye. Indeed, the size and shape of the abnormal cell to detect can vary substantially, making a comparison to a model quite questionable.

#### Summary of the Invention

[0019] The present invention relates to an apparatus and a process capable of rapid and accurate detection and count of rare mammalian cells made fluorescent and present on a solid support, by a laser scanning of the said solid support. The limitations cited above are overcome by using at least a scanning spot larger than the cells to be detected, preferably an elongated spot, together with an overlapping scanning pattern.

[0020] Furthermore, through the use of this unique apparatus, a rapid scan of a relatively large solid support (typically a few square centimeters) is accomplished in such a way that no rare mammalian cell to detect is missed and with close to real time rejection of false signals. A discrimination process (the discriminator) ensures the automatic and fast separation of fluorescent signals emitted by searched cells, from fluorescent signals emitted by autofluorescent particles, or other material which may have been made fluorescent.

[0021] In order to understand the invention it is instructive to note that the probability of detection for  $N$  independent scan passes over a target is proportional to the square root of  $N$  times the probability of detection for a single pass. The probability of detection for a single pass is in turn inversely proportional to the speed at which the pass is made. Thus, in general, scanning the field twice, at twice the speed, is equivalent in probability of detection to scanning once and no net gain in scan time is realized. However, if the two passes are added in time synchrony, they are no longer independent trials, and a signal event, (which will be correlated between the two passes), will be favored over a noise event, (which will be uncorrelated). Thus, increasing the probability of detection and permitting a decrease in the scan time.

[0022] In the invention, an increased scanning rate is accomplished, for instance, by scanning with a large laser spot (see figure 1), and overlapping the scan, such that each element in the field is scanned at least twice. The results of each adjacent pair of scans in the X direction are then compared in time synchrony for the purpose of maintaining the probability of detection by eliminating uncorrelated signals. Thus, each positive event is correlated between two or more scan lines, (depending on the size of the fluorescent object).

#### Definitions:

[0023] As the laser spot moves along scan lines on the solid support (such as a glass slide) the fluorescence light emitted (if any) is measured continuously by one or more detectors (at various wavelengths). The analog signal coming out of the detectors is digitalized by taking its value at constant frequency intervals.

[0024] Reading: a reading is the value of the signal at the time of measurement.

[0025] Sample: a sample is defined as a reading of the signal from the detector which exceeds a given dynamic

threshold.

[0026] Feature: a set of adjacent samples on one scan line is called a feature.

[0027] Line to line correlation: 2 samples are said to be correlated when they appear in time synchrony on two adjacent scan lines.

5 [0028] Single feature: a feature which appears on only one scan line, ie which is not correlated, is called a single feature.

[0029] Fluorescent spot: fluorescence emitted by any fluorescent particle when excited by a laser beam. These particles can be cells or other elements such as dust.

[0030] The particles can be autofluorescent or have been made fluorescent for the detection (for example, cells).

10 [0031] Event: an event is a set of at least two features which are correlated in time synchrony on adjacent scan lines. An event is the translation of a fluorescent spot in the measurement process.

[0032] An event may subsequently be classified by the discriminator as either a positive event (ie an event being searched, such as a cell) or as a noise event (ie an event to be rejected as due to fluorescence generated for example by autofluorescent particles). A noise event, if included in the final count, would give false positives.

15 [0033] Laser spot: light spot formed by a laser beam on a solid support.

[0034] Interline or line spacing Y: distance between two scanning lines.

[0035] Said definitions are illustrated in figure 2, in which samples are represented by O and in which the length of an event (set of correlated features) corresponds to the number of accumulated count of samples from the start of the feature occurring the earliest in a scan-line in the scan direction, and the end of the feature which terminates the latest

20 in a scan line, said counting taking as one sample all the correlated samples on different scan lines, and the width of an event is defined by the number of adjacent lines on which the same event appears.

[0036] The present invention provides a method for counting fluorescent labelled cells on a solid support such as a glass slide; said method is characterized in that it comprises:

25 - scanning a solid support on which a specimen potentially containing fluorescent cells has been deposited, with an incident beam from a laser, forming a laser spot on the solid support, said laser spot being substantially greater than the cells to be detected, said laser spot size being comprised between 15 and 30  $\mu\text{m}$ , wherein the distance between two adjacent scanning lines is such that each element of the said support is scanned at least twice, by partial overlapping of adjacent scanning paths, and is preferably less than half the dimension of said laser spot size; and simultaneously:

30 - detecting the resultant fluorescent light at least at one wavelength, by selecting only detected signals exceeding a given threshold, for example a dynamic threshold, wherein a set of adjacent samples on a scan-line represents a feature;

35 - establishing a set of correlated-features by a line-to-line correlation of individual features, by comparing features on each pair of adjacent lines in time-synchrony, counting the number of lines over which said set of correlated-features occur, each set of correlated-features forming an event, and eliminating any single uncorrelated-features (=single feature);

40 correlation is considered as existing when one or more samples within the two features under comparison are detected in the same position on said pair of line. The number of lines over which said set of correlated-features occur is counted, and thereafter used in size discrimination.

45 - comparing said correlated-features on each pair of adjacent lines in time synchrony, at least at two different wavelengths  $\lambda_1$  and  $\lambda_2$ , for selecting the correlated-features having an emission intensity ratio at said two wavelengths lower than a predetermined number, being specified that if the emission ratio at said wavelengths generated by any correlated samples is greater than a predefined value, the complete event is eliminated;

50 - making a size discrimination of retained events and selecting those having a size corresponding to the type of cells searched;

such analysis is for instance performed by a software curve-fitting algorithm. All events within the criteria are accepted as fluorescent cells for the final count; those outside the criteria are classified as noise (dust or other particles on said solid support);

55 - counting said remaining events to determine and to count exclusively the fluorescent cells present on said solid support.

[0037] More precisely, according to a preferred embodiment of the invention, said size discrimination is carried out by:

- determining the length of each event by counting the number of samples, by starting with the sample appearing first on the scanning direction on whatever feature of said event occurring the earliest, continue to include the sample appearing last in the scan direction on whatever feature ends last, said counting taking as one sample all the correlated samples on different scan lines,
- determining the width of said event by counting the number of adjacent lines covered by the same event and
- eliminating events for which the number of said counted samples is greater than a predetermined number A, and/or the number of said adjacent scan-lines is greater than a predetermined number B.

10

[0038] According to another preferred embodiment of the present invention, the instant process is characterized in that it comprises, prior to scanning said support:

15

- spreading the specimen to be analyzed and potentially containing the rare mammalian cells to be detected, over said solid support, in such a way to obtain a thin layer; and
- depositing on the solid support appropriate reagents to fluorescently label the cells searched using techniques such as monoclonal antibodies, *in situ* hybridisation, *in situ* PCR, enzyme-linked probes, capable when excited to emit a fluorescent light at a selected wavelength; such techniques being used either individually or simultaneously on a given sample to produce fluorescent light at one or more wavelength as a discrimination tool or as a way of identifying or selecting only certain type of rare cells.

20

[0039] Preferably, the step of detecting the resulting fluorescent light is performed by measuring signals exceeding a dynamic threshold (=samples), by means of a digital signal processor (DSP).

25

[0040] Said DSP allows to differentiate between wanted signals (corresponding to cells) and unwanted signals (electronic noise, for instance).

[0041] Such a process avoids, unexpectedly, both false negative and false positive results.

[0042] The hereafter Table I summarizes the potential causes for false negative or false positive results and the relevant steps of the instant process to eliminate the errors.

30

TABLE I

35

	Possible causes	Relevant steps for correction	
40	False positive	PMT noise Background fluorescence of filter Autofluorescent dirt or particle having absorbed the dye	Line to line correlation Gaussian curve Dynamic thresholding Colour discrimination Size discrimination
45	False negative	Area not covered cell signal not identified above background Cell assigned as PMT noise or dirt	Overlapping and full filter scanning Background level thresholding Colour discrimination Line to line correlation Size discrimination

40

45

50

55

[0043] It must be highlighted that the present process handles only with fluorescent spots and identifies fluorescently-labeled bacteria by analysis of the label's fluorescent response to a scanning laser. The analysis technique makes use of fluorescence discrimination comprising:

5     - evaluation of the number of samples on a scan line (=feature),  
    - line to line correlation and  
    - number of correlated features in view to make a "size discrimination" as defined hereabove and to provide an accurate detection of cells.

10    [0044] Therefore, the instant analysis technique makes use of the size of the object to detect in the following two ways:

- the fluorescent response on any single scan line must be large enough to exceed a predetermined noise threshold;
- a feature must be detected with a predetermined degree of overlap on at least two consecutive line scans.

15    [0045] These requirements mean that the instant process differs markedly from imaging systems, which require significantly more information on the shape and size of a feature.

[0046] These requirements drive the design of an imaging system towards small laser spot size, in order that the spot is smaller than the object being detected. The instant spot size can be large relative to the feature, and is currently of the order of 10 times the feature size. This gives major benefits in sampling speed, optical accuracy requirements, 20 and processing power (data handling rate and memory requirements).

[0047] Unexpectedly, the instant process provides:

- dynamic thresholding of signal level: the data processing system continuously monitors background noise level, and adjusts the threshold level which features must exceed to be regarded as significant. This allows the system to tolerate variation in the behaviour of the glass slide (solid support), both from glass slide to glass slide, and over the area of a single glass slide;
- line-to-line correlation of signals: in order to be assigned as cells, features must be present on at least two scan lines;
- use of a green fluorescence spectrum shape for feature discrimination (red/green signal level). A feature detected in the green channel must have a corresponding red channel signal small or nil, as predicted from the shape of the green fluorescent marker emission spectrum. A higher level of red channel response will cause the feature to be rejected;
- signal discrimination: signals must be present for a predetermined number of scan points in order to be acceptable. Short signals are rejected as noise;
- correlated features comprising above a predetermined number of samples or above a predetermined number of lines (i.e., either along a given scan line, or across several line scans) are rejected.

40    [0048] The present invention provides also an apparatus for detection and counting of cells according to the method described hereabove.

[0049] Said apparatus comprises:

- 45    a laser light source for emitting an incident light beam, cooperating with means for focusing said laser beam into a laser spot, the dimension of which on a solid support is substantially greater than the type of mammalian cells to be detected and counted, said laser spot size being comprised between 15 and 30  $\mu\text{m}$ ;
- 50    scanning means for directing the light from said light source onto said solid support to spotwise irradiate the mammalian cells to produce fluorescence spots, wherein the distance between two scanning lines is such that each element of the support is scanned at least twice, by partial overlapping of adjacent scanning paths and is preferably less than the dimension of said laser spot size;
- 55    means for detecting and photoelectrically converting said emitted fluorescence at least at two different wavelengths  $\lambda_1$  and  $\lambda_2$ ;
- means for discriminating and eliminating non-mammalian fluorescence including a digital signal processor and a plurality of optic paths for selecting at least two emission fluorescence wavelengths;
- signal processing means for establishing sets of correlated-features by a line-to-line correlation of individual features, by comparing features on each pair of adjacent lines in time synchrony, counting the number of lines over which said set of correlated-features occur, each set of correlated-features forming an event, and elimi-

inating any single uncorrelated feature, occuring only on one line; comparing said correlated-features on each pair of adjacent lines in time synchrony, at least at two different wavelengths  $\lambda_1$  and  $\lambda_2$ , for selecting the correlated-features having an emission intensity ratio at said two wavelengths lower than a predetermined number, being specified that if the emission ratio at said wavelengths generated by any correlated features is greater than a predefined value, the complete event is eliminated; making a size discrimination of retained events and selecting the events having a size corresponding to the type of mammalian cells searched; determining if for retained events after size discrimination, the events energy profile in three dimensions is within predetermined Gaussian shape criteria and rejecting events not within said predetermined Gaussian shape criteria, and counting said remaining events to determine and to count exclusively the fluorescent mammalian cells present on said solid support.

[0050] Said apparatus allows that the entire surface of the solid support is scanned.

[0051] According to one aspect of said apparatus, said scanning means comprises a first oscillating mirror, the axis of oscillation of which is perpendicular to the axis of the light beam for scanning a line by the beam; and a second mirror, the axis of which is perpendicular to the axis of oscillation of the first mirror, said second mirror executing a scanning movement synchronized with the scanning movement of said first mirror.

[0052] According to another aspect of the apparatus, said detecting means includes at least two photomultipliers as a means for the photoelectric conversion.

[0053] According to another aspect of the apparatus, said laser spot has an elongated shape.

[0054] According to another aspect of the apparatus, said solid support is a glass slide.

[0055] According to another aspect of the apparatus, said sample holder cooperates with cooling means, such as ones leading to Peltier effect.

[0056] In addition, a thin layer of a material such as silicon may be sandwiched between said sample holder and said glass slide.

[0057] Said thin layer has, for instance, the following advantages: no autofluorescence, low light reflexion at the excitation wavelength and easy to clean.

[0058] The following figures can be used to describe the means by which the invention was reduced to practice.

#### Brief description of the drawings

[0059]

- Figure 1: drawing of overlapping scan pattern showing: beam shape, scan pattern, direction and relative dimensions.
- Figure 2: definition and dimension of an event.
- Figure 3: sketch of the apparatus showing; the laser, optics, scanning mirrors, specimen holder, detectors, and a black box for post detection electronics.
- Figure 4: flow chart showing top level control algorithm.
- Figure 5: flow chart showing feature detection.
- Figure 6: flow chart showing line correlation.
- Figure 7: flow chart showing color ratio discrimination.
- Figure 8: flow chart showing event size discrimination.
- Figure 9 illustrates the principal scanning paramaters d, x, y.
- Figure 10 illustrates a comparison of reduction in signal to noise when the laser spot is circular (Case 1) or elongated (Case 2).

#### Description of Preferred embodiments

[0060] Referring to figure 3, there is shown an apparatus according to the invention comprising scanning means 10, means for detecting the emitted fluorescence, including dichroic filters 20, optical filters, 21, photomultipliers (PMT) 30, a signal processing system 40-42, a digital signal processor 43, an instrument PC 50, a user PC 60 and an automated microscope 70.

[0061] The scanning device 10 uses coherent light to scan a solid support 11, represented by a glass slide, carried on a glass slide holder 8.

[0062] In the preferred embodiment, the components of the device 10 include: a 488 nm argon-ion water cooled laser 12, scan mirrors 16, scanning lens 17 and a beam dump 18 which is a safety feature; said scanning means cooperates with means for focusing said laser beam into a laser spot comprising a beam expander 13 which controls the illuminating laser spot size to 15-30  $\mu\text{m}$ , preferably 20  $\mu\text{m}$ , and directs the illuminating spot onto said scan mirrors 16.

[0063] Said beam expander 13 comprises two lenses, adapted (focal distance and distance between said two lenses), such as providing a laser spot on said solid support from 15 to 30  $\mu\text{m}$ ; for instance, to obtain a laser spot of 20  $\mu\text{m}$ , focal distance of lens n°1 is 90 mm, focal distance of lens n°2 is 50 mm, and the distance between the two lenses is 36,5 mm.

5 [0064] Said two scanning mirrors are used to scan the illuminating laser spot across the solid support 11 on which is deposited the sample containing the cells to be detected. The laser spot moves in the x direction at a speed for example of 1 meter per second.

[0065] Said scanning mirrors 16 (=scanner 16) allow, for instance, a line-to-line (y) spacing of 7  $\mu\text{m}$  (distance between two scan lines).

10 [0066] High optical accuracy is required from said scanning means to ensure accurate positioning of the laser spot (scanning lens 17).

[0067] Using a laser spot size of 20  $\mu\text{m}$  at a speed of 1 m/s, a 25 mm filter can be scanned in under 2 minutes.

[0068] The solid support 11 (or specimen support) (such as a glass slide) on which is deposited the sample to be analyzed is placed on a removable sample holder which is used to carry the specimen support from the laboratory, or 15 from where ever the sample is collected, and to introduce it into the machine.

[0069] This sample holder is designed to handle preferably a rectangular specimen support, such as a glass slide.

[0070] The load drawer (not represented) is easily accessible to the user. The removable sample holder is designed to handle a rectangular solid support and is deposited on the load drawer. The drawer is then pushed into the instrument and the sample holder carrying the cells comes directly under the scanner 16. The sample holder is cooled to protect 20 the stability of the labelled cells (for instance by Peltier effect).

[0071] Said sample loader cooperates with a mechanism to introduce the sample holder in the machine and to automatically bring it with precision at the right distance from the scanning lenses. The sample loader is not shown on the figures.

25 [0072] The scanner 16 passes the focused laser beam to the target 11, thereby inducing fluorescence from the cells or any fluorescent material.

[0073] The thus fluorescent light emitted from the sample glass slide passes through dichroic filters 20 and optical filters 21 to two photomultipliers (PMTs) 30.

[0074] Said PMTs 30 detect fluorescence at two wavelengths (centred on 530 nm and 615 nm and referred to as the green and the red channels).

30 [0075] Said fluorescence is further analyzed by the signal processing system 40.

[0076] The PMT signals, together with time synchrony information from the scanner 16, are passed to the signal processing system 40.

[0077] This system 40 comprises pre-amplifiers 41, signal sampling devices 42 and digital signal processing unit 43.

[0078] More precisely, each of said PMT signals is amplified by a dedicated preamplifier 41. The amplified analogue 35 signals are digitally sampled at 2 MHz, using 8-bit resolution (256 signal levels). Each PMT channel has a dedicated sampler.

[0079] The sampled PMT signals are passed to a Digital Signal Processor (DSP) 43.

[0080] The signals are then analyzed and the resulting output information is passed through an instrument PC 50, which controls the scanning device, acts as a host for the DSP system 43, stores data during solid support scanning 40 and passes scan results to the user PC 60.

[0081] Said user PC system 60 is used to process and display the results of a scan, currently using Matlab® software, as the principal analytical tool.

[0082] The instant apparatus has the facility to allow, if necessary, direct observation of any object on the solid support, by driving an automated microscope from the user PC 60.

45 [0083] Figures 4-8 sum up the different steps of the instant process in view to reject:

50 - background noise (dynamic threshold, figure 5),  
 - colour discrimination (figure 7),  
 - uncorrelated features (figure 6),  
 - size discrimination (figure 8).

#### Effect of variation on design parameters.

55 [0084] The following scanning physical parameters: laser spot size (d), scan line sampling (x) and line spacing (y) influence the detection performance of the instant process, as illustrated in Figure 9. They are:

- d: the scan laser spot dimension. The spot power distribution is Gaussian, and the spot dimension is usually defined as the dimension at which the laser intensity has fallen to  $(1/e^2)$  of the peak value (approximately 13%).

- x: the distance between successive data samples on one scan line. This is controlled by the sampling rate, and the speed of the scanning mirrors.
- y: the distance between successive scan lines. This is controlled by the step size used to move the scanning mirror.

5 [0085] The effect of varying these parameters is summarised in Table II. It is clear that there is an optimum operating region for each parameter. The size of this region is determined by three principal constraining factors:

- minimising the probability of obtaining false positive or false negative result;
- practical engineering constraints (component tolerances, scanning mirror positional accuracy, etc);
- 10 · processing and data analysis system costs (process speed, data storage memory).

TABLE II

	Parameter	Current value	Requirement	Change	Issues and effect of change
15	Spot diameter	20 $\mu\text{m}$	Small enough to discriminate two close events	Bigger spot smaller spot	False-negative due to lower signal level Increased scan time
20	Line spacing y	7 $\mu\text{m}$	small enough to see fluorescent cells on 2 consecutive lines	Larger spacing smaller spacing	False-negative if cell not seen on 2 lines mechanical tolerances; data storage; scan time
25	scan line sampling x	0.5 $\mu\text{m}$ (2 mHz)	Small enough to distinguish noise from real event	Higher spacing (lower sample rate) Lower spacing (high sample rate)	Insufficient discrimination Mechanical tolerances; data storage; scan time
30					
35					

[0086] The role of these parameters is also shown in figures 1 and 10.

40 [0087] The target 11 is scanned as shown in figure 1. With reference to said figure 1, the scan time in terms of laser spot dimension and the SNR may be evaluated as follows:

- total area scanned is X.Y
- scan velocity is v
- 45 - retrace time is negligible
- spot dimensions are  $a_x$  and  $a_y$
- scan advance is  $\Delta y$

[0088] Then the time to scan the total area is equal to the time to scan one line times the number of scan lines.

50 Time per line =  $X/v$   
 Number of lines =  $Y/\Delta y$   
 $Y = a_y/n$  where n is the number times each spot is scanned.

55 [0089] Thus, the time to scan is given by:

$$\text{Scan time} = \frac{X \cdot Yn}{v \cdot a_y}$$

5 [0090] If all things are equal the time to complete a scan is proportional to the area scanned and the number of times each element is scanned. It is inversely proportional to the velocity of scan and the dimension of the spot in the Y direction.

10 [0091] However, all things are not equal and if the scan time is reduced by either the simple expedient of increasing the spot size or the velocity the signal to noise will be compromised.

[0092] The signal is proportional to the intensity of illumination (watts/sq.cm.) and the time that each spot is illuminated. The noise is proportional to the square root of the illuminated area and inversely proportional to the scan velocity. Thus, considering that:

- the target cell is smaller than the illuminating spot;
- 15 - the total laser power is a constant ( $I_0$ ) and is spread over the illuminating spot;
- the scan may be overlapped as described above where  $n$  is the number of times each spot is scanned.

[0093] The signal to noise can be expressed in terms of familiar quantities:

20

$$S/N = \frac{I_0}{a_x \cdot a_y} \sqrt{\frac{n}{a_y \cdot v}}$$

25 [0094] This demonstrates explicitly how the detection signal to noise is reduced as either the scan speed or laser spot dimension is increased. Even though this equation does not consider the recovery of signal to noise which will be gained by correlation of adjacent scan lines (see figure 1).

[0095] Figure 10 shows a comparison of the results of the two equations developed above, under two sets of conditions. In each case, it was assumed that an initial condition existed with a circular laser spot of dimension  $a$ . Under this condition, the SNR was 100% and the scan time was 100%.

30 [0096] Condition 1: increase the laser spot dimension at constant velocity maintaining a circular spot and with no overlapping scan.

$n = 1$  and  $a_x = a_y$

35 [0097] Condition 2: elongate the spot by increasing the  $y$  dimension while decreasing the  $x$  dimension such that the spot area is constant and overlap so that each spot is scanned twice:

40  $n = 2$  and  $a_x = 1/a_y$

**EXAMPLE 1: Detection of human cells (HeLa cells) infected with the vaccinia virus.**

45 [0098] Cells ( $10^5$ /ml) were grown on slide and treated with wild type vaccinia virus at  $2 \cdot 10^{-1}$  pfu/ml. Six hours after infection, cells were incubated 20 minutes with a FITC labelled vaccinia monoclonal antibody, then washed with PBS buffer;  $20 \mu\text{l}$  of the treated suspension ( $2 \cdot 10^3$  cells) were deposited between slide and coverslip, and counted using the present invention. Parameters setting on the apparatus, basic detection results and final results after discrimination process are presented in the Table III. Detected cells were later confirmed positive under microscope.

50 **EXAMPLE 2: Detection of murine transformed cells.**

[0099] A few  $\beta$  galactosidase protein constitutively expressing P13-1 cells, constructed from P-815 cells (murine plasmacytome) were added to a non-transfected cells suspension; the resulting suspension was labelled 5 minutes at  $37^\circ\text{C}$  using fluorescein di-galactoside. After labelling,  $30 \mu\text{l}$  were deposited between slide and coverslip and counted using the present invention. Parameters setting on the apparatus, basic detection results and final results after discrimination process are presented in Table III.

5  
10  
15  
20  
25  
30  
35  
40  
45  
50  
55

TABLE III  
DETECTION AND COUNTING OF FLUORESCENT "RARE EVENTS" IN BIOLOGY AND MEDICINE

PARAMETERS SETTING				BASIC DETECTION RESULTS				NUMBER OF EVENTS ELIMINATED BY DISCRIMINATION PROCESS				FINAL RESULTS			
Cells	Laser power	Spot size	Green PMT (Volt)	Red Channel		Samples	Features	Events**	Red Channel	Samples	Features	Events**	Ratio	Single Feature	"Size"
				Red PMT (Volt)	Ratio* greater than										
Infected HeLa, cells	50 mw	14 $\mu$ m	680	750	0	12747	565	76	4694	215	19	9	33	$\Delta > 15$	33
B Gal + P13 - 1	60 mw	14	650	750	0	3667	195	49	1839	104	26	18	5	$\Delta > 25$	24

[0100] It must be pointed out that in said Table III, "Ratio" means fluorescent intensity in the red channel divided by fluorescent intensity in the green channel and the columns marked "•" actually include the total of events as defined in the text and the number of uncorrelated features (also called single features). It is also to be noted that features and events may be found in the red channel only and vice versa and impact of discrimination by Gaussian after other criteria not shown on this Table.

5

## Claims

10 1. Method for detecting and counting rarely occurring mammalian cells, characterized in that it comprises:

- scanning a solid support on which a specimen potentially containing fluorescent cells has been deposited, with an incident beam from a laser, forming a laser spot on the solid support, said laser spot being substantially greater than the cells to be detected, said laser spot size being comprised between 15 and 30  $\mu\text{m}$ , wherein the distance between two adjacent scanning lines is such that each element of the said support is scanned at least twice, by partial overlapping of adjacent scanning paths; and simultaneously:
- detecting the resultant fluorescent light at least at one wavelength, by selecting only detected signals exceeding a given threshold, wherein a set of adjacent samples on a scan-line represents a feature;
- establishing a set of correlated-features by a line-to-line correlation of individual features, by comparing features on each pair of adjacent lines in time-synchrony, counting the number of lines over which said set of correlated-features occur, each set of correlated-features forming an event, and eliminating any single uncorrelated-features;
- comparing said correlated-features on each pair of adjacent lines in time synchrony, at least at two different wavelengths  $\lambda_1$  and  $\lambda_2$ , for selecting the correlated-features having an emission intensity ratio at said two wavelengths lower than a predetermined number, being specified that if the emission ratio at said wavelengths generated by any correlated samples is greater than a predefined value, the complete event is eliminated;
- making a size discrimination of retained events and selecting those having a size corresponding to the type of cells searched;
- determining if for retained events after size discrimination, the events energy profile in three dimensions is within predetermined Gaussian shape criteria and rejecting events not within said predetermined Gaussian shape criteria; and
- counting said remaining events to determine and to count exclusively the fluorescent cells present on said solid support.

35 2. The method as in claim 1, wherein said size discrimination is carried out by:

- **determining the length** of each event by counting the number of samples, by starting with the sample appearing first on the scanning direction on whatever feature of said event occurring the earliest, continue to include the sample appearing last in the scan direction on whatever feature ends last, said counting taking as one sample all the correlated samples on different scan lines,
- **determining the width** of said event by counting the number of adjacent lines covered by the same event and
- **eliminating** events for which the number of said counted samples is greater than a predetermined number A, and/or the number of said adjacent scan-lines is greater than a predetermined number B.

45 3. The method as in claim 1 or claim 2, characterized in that prior to scanning said support, it comprises:

- spreading the specimen to be analyzed and potentially containing the rare mammalian cells to be detected, over said solid support, in such a way to obtain a thin layer and
- depositing on the solid support appropriate reagents to fluorescently label the cells searched using techniques such as monoclonal antibodies, *in situ* hybridisation, *in situ* PCR, enzyme-linked probes, capable when excited to emit a fluorescent light at a selected wavelength; such techniques being used either individually or simultaneously on a given sample to produce fluorescent light at one or more wavelength as a discrimination tool or as a way of identifying or selecting only certain type of rare cells.

55 4. Apparatus for detecting and counting cells by fluorescence according to the method of claims 1 to 3, which comprises:

a laser light source (12) for emitting an incident light beam, cooperating with means for focusing (13) said laser

beam into a laser spot, the dimension of which on a solid support (11) is substantially greater than the type of mammalian cells to be detected and counted, said laser spot size being comprised between 15 and 30  $\mu\text{m}$ ; scanning means (10) for directing the light from said light source onto said solid support to spotwise irradiate the mammalian cells to produce fluorescence spots, wherein the distance between two scanning lines is such that each element of the support is scanned at least twice, by partial overlapping of adjacent scanning paths; means for detecting (20, 21, 30) and photoelectrically converting said emitted fluorescence at least at two different wavelengths  $\lambda_1$  and  $\lambda_2$ ;

means for discriminating and eliminating non-mammalian fluorescence including a digital signal processor (43) and a plurality of optic paths for selecting at least two emission fluorescence wavelengths;

10

- signal processing means (40, 41, 42) for establishing sets of correlated-features by a line-to-line correlation of individual features, by comparing features on each pair of adjacent lines in time synchrony, counting the number of lines over which said set of correlated-features occur, each set of correlated-features forming an event, and eliminating any single uncorrelated feature, occurring only on one line;

15

comparing said correlated-features on each pair of adjacent lines in time synchrony, at least at two different wavelengths  $\lambda_1$  and  $\lambda_2$ , for selecting the correlated-features having an emission intensity ratio at said two wavelengths lower than a predetermined number, being specified that if the emission ratio at said wavelengths generated by any correlated features is greater than a predefined value, the complete event is eliminated; making a size discrimination of retained events and selecting the events having a size corresponding to the type of mammalian cells searched; determining if for retained events after size discrimination, the events energy profile in three dimensions is within predetermined Gaussian shape criteria and rejecting events not within said predetermined Gaussian shape criteria, and counting said remaining events to determine and to count exclusively the fluorescent mammalian cells present on said solid support.

20

25

5. Apparatus as in claim 4, characterized in that said scanning means comprises a first oscillating mirror (16), the axis of oscillation of which is perpendicular to the axis of the light beam for scanning a line by the beam; and a second mirror, the axis of which is perpendicular to the axis of oscillation of the first mirror, said second mirror executing a scanning movement synchronized with the scanning movement of said first mirror.

30

6. Apparatus as in claim 4, characterized in that said detecting means includes at least two photomultipliers (30) as a means for the photoelectric conversion.

35

7. Apparatus as in claim 4, characterized in that said laser spot has an elongated shape.

8. Apparatus as in claim 4, characterized in that said solid support is a glass slide (11).

40

9. Apparatus as in claim 4, characterized in that said solid support is placed on a sample holder (8) cooperating with cooling means and optionally a thin layer of silicon material sandwiched between said sample holder and said solid support.

### Patentansprüche

45

1. Verfahren zum Detektieren und Zählen von selten auftretenden Säugetierzellen, dadurch gekennzeichnet, daß es folgendes umfaßt:

- Abtasten eines festen Trägers, auf dem eine potentiell fluoreszierende Zellen enthaltende Probe abgelagert worden ist, mit einem von einem Laser einfallenden Strahl, Bilden eines Laserflecks auf dem festen Träger, wobei der Laserfleck wesentlich größer als die zu detektierenden Zellen ist, wobei die Laserfleckgröße zwischen 15 und 30  $\mu\text{m}$  ist, wobei der Abstand zwischen zwei benachbarten Abtastlinien derart ist, daß jedes Element des Trägers wenigstens zweimal durch partielles Überlappen von benachbarten Abtastwegen abgetastet wird; und gleichzeitig:
- Detektieren des resultierenden Fluoreszenzlichts wenigstens bei einer einzigen Wellenlänge, indem nur detektierte Signale, die einen gegebenen Schwellwert übersteigen, ausgewählt werden, wobei ein Satz von benachbarten Probeerhebungen auf einer Abtastlinie ein Merkmal bzw. eine Besonderheit repräsentiert;

- Aufstellen eines Satzes von korrelierten Merkmalen bzw. Besonderheiten durch eine Linie-zu-Linie-Korrelation von individuellen Merkmalen bzw. Besonderheiten mittels Vergleichen von Merkmalen bzw. Besonderheiten auf jedem Paar von benachbarten Linien in Zeitsynchronizität, Zählen der Anzahl von Linien, über welche der Satz von korrelierten Merkmalen bzw. Besonderheiten auftritt, wobei jeder Satz von korrelierten Merkmalen bzw. Besonderheiten ein Ereignis bildet, und Eliminieren aller einzelnen unkorrelierten Merkmale bzw. Besonderheiten;

5

- Vergleichen der korrelierten Merkmale bzw. Besonderheiten auf jedem Paar von benachbarten Linien in Zeitsynchronizität wenigstens bei zwei unterschiedlichen Wellenlängen  $\lambda_1$  und  $\lambda_2$  zum Auswählen der korrelierten Merkmale bzw. Besonderheiten, die ein Emissionsintensitätsverhältnis bei den beiden Wellenlängen haben, welches niedriger als eine vorbestimmte Zahl ist, und zwar derart spezifiziert, daß, wenn das Emissionsverhältnis bei den genannten Wellenlängen, das durch irgendwelche korrelierten Proben erzeugt wird, größer als ein vordefinierter Wert ist, das vollständige Ereignis eliminiert wird;

10

- Herstellen einer Größendiskriminierung von zurückgehaltenen Ereignissen und Auswählen von jenen, die eine Größe haben, welche der Art der gesuchten Zellen entspricht;

15

- Bestimmen, ob für zurückgehaltene Ereignisse nach der Größendiskriminierung das Energieprofil der Ereignisse in drei Dimensionen innerhalb vorbestimmter Gaußformkriterien ist, und Zurückweisen von Ereignissen, die nicht innerhalb der vorbestimmten Gaußformkriterien sind; und

20

- Zählen der übrigbleibenden Ereignisse, um ausschließlich die auf dem festen Träger vorhandenen fluoreszierenden Zellen zu bestimmen und zu zählen.

25

2. Verfahren nach Anspruch 1, worin die Größendiskriminierung ausgeführt wird durch:
  - Bestimmen der Länge von jedem Ereignis mittels Zählens der Anzahl von Probeerhebungen durch Beginnen mit der Probeerhebung, die, bei welchem Merkmal bzw. welcher Besonderheit des Ereignisses auch immer, das bzw. die am frühesten auftritt, in der Abtastrichtung zuerst erscheint, Fortsetzen, um die Probeerhebung einzuschließen, die, bei welchem Merkmal bzw. welcher Besonderheit auch immer, das bzw. die zuletzt endet, als letztes in der Abtastrichtung erscheint, wobei die Zählung als eine einzige Probeerhebung alle die korrelierten Probeerhebungen auf unterschiedlichen Abtastlinien nimmt;

30

- Bestimmen der Breite des genannten Ereignisses durch Zählen der Anzahl von benachbarten Linien, welche durch das gleiche Ereignis bedeckt sind, und

35

- Eliminieren von Ereignissen, für welche die Anzahl der gezählten Probeerhebungen größer als eine vorbestimmte Zahl A ist und/oder die Anzahl der benachbarten Abtastlinien größer als eine vorbestimmte Zahl B ist.

40

3. Verfahren nach Anspruch 1 oder 2, dadurch gekennzeichnet, daß es vor dem Abtasten des Trägers folgendes umfaßt:
  - Ausbreiten der Probe, die analysiert werden soll und potentiell die zu detektierenden seltenen Säugetierzellen enthält, über den festen Träger in einer solchen Art und Weise, daß eine dünne Schicht erhalten wird, und

45

- Ablagern von angemessenen Reagenzien auf dem festen Träger zum fluoreszenten Markieren der gesuchten Zellen unter Verwendung von Techniken, wie monoklonale Antikörper, in situ Hybridisierung, in situ PCR, enzymverbundene Sonden, die fähig sind, wenn sie dazu erregt werden, Fluoreszenzlicht bei einer ausgewählten Wellenlänge zu emittieren; wobei solche Techniken entweder einzeln oder gleichzeitig bei einer gegebenen Probeerhebung verwendet werden, um Fluoreszenzlicht bei einer oder mehreren Wellenlängen als ein Diskriminationswerkzeug oder als ein Weg des Identifizierens oder Auswählens von nur einer gewissen Art von seltenen Zellen verwendet werden.

50

4. Einrichtung zum Detektieren und Zählen von Zellen durch Fluoreszenz gemäß dem Verfahren der Ansprüche 1 bis 3, welche folgendes umfaßt:
  - eine Laserlichtquelle (12) zum Emissieren eines einfallenden Lichtstrahls, die mit Mitteln zum Fokussieren (13) des Laserstrahls zu einem Laserfleck zusammenwirkt, dessen Dimension auf einem festen Träger (11) we-

sentlich größer als die Art der zu detektierenden und zu zählenden Säugetierzellen ist, wobei die Laserfleckgröße zwischen 15 und 30  $\mu\text{m}$  liegt;

- Abtastmittel (10) zum Richten des Lichts von der genannten Lichtquelle auf den festen Träger, um die Säugetierzellen fleckweise zu bestrahlen, so daß Fluoreszenzflecken erzeugt werden, worin der Abstand zwischen zwei Abtastlinien derart ist, daß jedes Element des Trägers wenigstens zweimal durch teilweises Überlappen von benachbarten Abtastwegen abgetastet wird;
- Mittel zum Detektieren (20, 21, 30) und photoelektrischen Umwandeln der emittierten Fluoreszenz bei wenigstens zwei unterschiedlichen Wellenlängen  $\lambda_1$  und  $\lambda_2$ ;
- Mittel zum Diskriminieren und Eliminieren von Nichtsäugetierfluoreszenz, umfassend einen Digitalsignalverarbeiter (43) und eine Mehrzahl von optischen Wegen zum Auswählen von wenigstens zwei Emissionsfluoreszenzwellenlängen;
- Signalverarbeitungsmittel (40, 41, 42) zum Aufstellen von Sätzen von korrelierten Merkmalen bzw. Besonderheiten mittels einer Linie-zu-Linie-Korrelation von individuellen Merkmalen bzw. Besonderheiten durch Vergleichen von Merkmalen auf jedem Paar von benachbarten Linien in Zeitsynchronizität, Zählen der Anzahl von Linien, über welche der genannte Satz von korrelierten Merkmalen bzw. Besonderheiten auftritt, wobei jeder Satz von korrelierten Merkmalen bzw. Besonderheiten ein Ereignis bildet, und Eliminieren von jedem einzelnen unkorrelierten Merkmal bzw. jeder einzelnen unkorrelierten Besonderheit, das bzw. die nur auf einer einzigen Linie auftritt; Vergleichen der genannten korrelierten Merkmale bzw. Besonderheiten auf jedem Paar von benachbarten Linien in Zeitsynchronizität wenigstens bei zwei unterschiedlichen Wellenlängen  $\lambda_1$  und  $\lambda_2$  zum Auswählen der korrelierten Merkmale, die ein Emissionsintensitätsverhältnis bei den genannten beiden Wellenlängen haben, das niedriger als eine vorbestimmte Zahl ist, und zwar dahingehend spezifiziert, daß, wenn das Emissionsverhältnis bei den genannten Wellenlängen, durch irgendwelche korrelierten Merkmale erzeugt, größer als ein vordefinierter Wert ist, das vollständige Ereignis eliminiert wird; Herstellen einer Größendiskriminierung von zurückgehaltenen Ereignissen und Auswählen der Ereignisse, die eine Größe haben, welche der Art der gesuchten Säugetierzellen entspricht; Bestimmen, ob für zurückgehaltene Ereignisse nach der Größendiskriminierung das Energieprofil der Ereignisse in drei Dimensionen innerhalb vorbestimmter Gaußformkriterien ist, und Zurückweisen von Ereignissen, die nicht innerhalb der genannten vorbestimmten Gaußformkriterien sind, und Zählen der übrigen Ereignisse, um die auf dem festen Träger vorhandenen fluoreszenten Säugetierzellen ausschließlich zu bestimmen und zu zählen.

5. Einrichtung nach Anspruch 4, dadurch **gekennzeichnet**, daß das Abtastmittel einen ersten schwingenden Spiegel (16) umfaßt, wobei die Achse der Schwingung desselben senkrecht zu der Achse des Lichtstrahls für das Abtasten einer Linie durch den Strahl ist; und einen zweiten Spiegel, dessen Achse senkrecht zu der Achse der Schwingung des ersten Spiegels ist, wobei der zweite Spiegel eine Abtastbewegung ausführt, die mit der Abtastbewegung des ersten Spiegels synchronisiert ist.

6. Einrichtung nach Anspruch 4, dadurch **gekennzeichnet**, daß das Detektionsmittel wenigstens zwei Photomultiplier (30) als ein Mittel für die photoelektrische Umwandlung umfaßt.

7. Einrichtung nach Anspruch 4, dadurch **gekennzeichnet**, daß der Laserfleck eine langgestreckte Form hat.

8. Einrichtung nach Anspruch 4, dadurch **gekennzeichnet**, daß der feste Träger ein Glasobjektträger (11) ist.

9. Einrichtung nach Anspruch 4, dadurch **gekennzeichnet**, daß der feste Träger auf einem Probenhalter (8) plaziert ist, welcher mit Kühlmitteln, sowie wahlweise einer dünnen Schicht aus Siliciummaterial, welche zwischen den Probenhalter und den festen Träger sandwichartig eingefügt ist, zusammenwirkt.

#### Revendications

1. Procédé de détection et de numération de cellules de mammifères à occurrence rare, caractérisé en ce qu'il comprend :

- le balayage d'un support solide sur lequel a été déposé un spécimen susceptible de contenir des cellules

fluorescentes, avec un faisceau incident provenant d'un laser, la formation d'une tache laser sur le support solide, la dite tache laser étant substantiellement plus grande que les cellules à détecter, la dite dimension de la tache laser étant comprise entre 15 et 30  $\mu\text{m}$ , où la distance entre deux lignes de balayage adjacentes est telle que chaque élément du dit support est balayé au moins deux fois, par recouvrement partiel des chemins de balayage adjacents ; et simultanément :

- la détection de la lumière fluorescente résultante au moins à une longueur d'onde, par sélection uniquement des signaux détectés qui dépassent un seuil donné, dans lesquels un ensemble d'échantillons adjacents sur une ligne de balayage représente un trait ;
- l'établissement d'un ensemble de traits corrélés par une corrélation ligne-à-ligne des traits individuels, par comparaison des traits sur chaque paire de lignes adjacentes en synchronie temporelle, le comptage du nombre de lignes sur lesquelles apparaît le dit ensemble de traits corrélés, chaque ensemble de traits corrélés formant un événement, et l'élimination de tous les traits non corrélés uniques ;
- la comparaison de ces traits corrélés sur chaque paire de lignes adjacentes en synchronie temporelle, au moins à deux longueurs d'onde  $\lambda_1$  et  $\lambda_2$  différentes pour la sélection des traits corrélés ayant un rapport d'intensité d'émission aux dites deux longueurs d'onde inférieur à un nombre prédéterminé, étant spécifié que si le rapport d'émission aux dites longueurs d'onde généré par de quelconques échantillons corrélés est supérieur à une valeur prédefinie, l'événement complet est éliminé ;
- la réalisation d'une discrimination en fonction de la dimension des événements retenus et la sélection de ceux qui ont une dimension correspondant au type de cellules recherchées ;
- la détermination, pour les événements retenus après discrimination en fonction de la dimension, du fait que le profil d'énergie des événements en trois dimensions satisfait ou non aux critères d'une forme gaussienne prédéterminée, et le rejet des événements qui ne satisfont pas aux dits critères de forme gaussienne prédéterminée ; et
- le dénombrement des dits événements restants pour déterminer et dénombrer exclusivement les cellules fluorescentes présentes sur le dit support solide.

2. Procédé suivant la revendication 1, dans lequel la dite discrimination en fonction de la dimension est effectuée par:

- détermination de la longueur de chaque événement par comptage du nombre d'échantillons, à partir de l'échantillon apparaissant le premier dans la direction du balayage sur un quelconque trait du dit événement apparaissant le plus tôt, jusqu'à et y compris l'échantillon apparaissant le dernier dans la direction du balayage sur un quelconque trait finissant le plus tard, le dit dénombrement prenant en tant qu'un seul échantillon tous les échantillons corrélés sur différentes lignes de balayage,
- détermination de la largeur du dit événement par comptage du nombre de lignes adjacentes couvertes par le même événement et
- élimination des événements pour lesquels le nombre des dits échantillons dénombrés est supérieur à un nombre A prédéterminé, et/ou le nombre des dites lignes de balayage adjacentes est supérieur à un nombre B prédéterminé.

3. Procédé suivant les revendications 1 ou 2, caractérisé en ce qu'avant le balayage du dit support solide, il comprend :

- l'étalement du spécimen à analyser et susceptible de contenir les cellules de mammifères rares à détecter, sur le dit support solide, de façon à obtenir une couche mince ; et
- le dépôt sur le support solide de réactifs appropriés pour marquer de façon fluorescente les cellules recherchées avec des techniques telles que des anticorps monoclonaux, une hybridation *in situ*, une PCR *in situ*, des sondes liées à une enzyme, capables, sous l'effet d'une excitation, d'émettre une lumière fluorescente à une longueur d'onde choisie ; ces techniques étant utilisées soit individuellement, soit simultanément sur un échantillon donné pour produire une lumière fluorescente à une ou plusieurs longueurs d'onde en tant qu'outil de discrimination ou en tant que moyen d'identification ou de sélection de seulement un certain type de cellules rares.

4. Appareil de détection et de numération de cellules par fluorescence suivant le procédé des revendications 1 à 3, qui comprend:

- une source de lumière laser (12) pour émettre un faisceau de lumière incidente, en coopération avec un moyen (13) pour focaliser le dit faisceau laser en une tache laser, dont la dimension sur un support solide (11) est substantiellement supérieure à celle du type de cellules de mammifères à détecter et à dénombrer, la dimen-

5 sion de la dite tache laser étant comprise entre 15 et 30  $\mu\text{m}$  ;

- un moyen de balayage (10) pour diriger la lumière provenant de la dite source de lumière sur le dit support solide afin d'irradier par taches les cellules de mammifères pour produire des taches de fluorescence, où la distance entre deux lignes de balayage est telle que chaque élément du support est balayé au moins deux fois, par recouvrement partiel des chemins de balayage adjacents ;
- un moyen de détection (20, 21, 30) et de conversion photoélectrique de la dite fluorescence émise au moins à deux longueurs d'onde  $\lambda_1$  et  $\lambda_2$  différentes ;
- un moyen de discrimination et d'élimination de la fluorescence ne provenant pas de mammifères, comprenant un processeur de signaux numériques (43) et plusieurs chemins optiques pour sélectionner au moins deux longueurs d'onde d'émission de fluorescence ;
- un moyen de traitement du signal (40, 41, 42) pour établir des ensembles de traits corrélés par une corrélation ligne-à-ligne des traits individuels, par comparaison des traits sur chaque paire de lignes adjacentes en synchronie temporelle, comptage du nombre de lignes sur lesquelles apparaît le dit ensemble de traits corrélés, chaque ensemble de traits corrélés formant un événement, et élimination de tous les traits non corrélés uniques, apparaissant uniquement sur une seule ligne ; comparaison de ces traits corrélés sur chaque paire de lignes adjacentes en synchronie temporelle, au moins à deux longueurs d'onde  $\lambda_1$  et  $\lambda_2$  différentes, pour sélectionner les traits corrélés ayant un rapport d'intensité d'émission aux dites deux longueurs d'onde inférieur à un nombre prédéterminé, étant spécifié que si le rapport d'émission aux dites longueurs d'onde généré par de quelques traits corrélés est supérieur à une valeur prédéfinie, l'événement complet est éliminé ; réalisation d'une discrimination en fonction de la dimension des événements retenus et sélection des événements qui ont une dimension correspondant au type de cellules de mammifères recherchées ;

25 détermination, pour des événements retenus après discrimination en fonction de la dimension, du fait que le profil d'énergie des événements en trois dimensions satisfait ou non à des critères de forme gaussienne pré-déterminée et rejet des événements qui ne satisfont pas aux dits critères de forme gaussienne pré-déterminée ; et dénombrement des dits événements restants pour déterminer et dénombrer exclusivement les cellules de mammifères fluorescentes présentes sur le dit support solide.

30 5. Appareil suivant la revendication 4, caractérisé en ce que le dit moyen de balayage comprend un premier miroir oscillant (16), dont l'axe d'oscillation est perpendiculaire à l'axe du faisceau de lumière pour le balayage d'une ligne par le faisceau ; et un second miroir, dont l'axe est perpendiculaire à l'axe d'oscillation du premier miroir, le dit second miroir exécutant un mouvement de balayage synchronisé avec le mouvement de balayage du dit premier miroir.

35 6. Appareil suivant la revendication 4, caractérisé en ce que le dit moyen de détection comprend au moins deux photomultiplicateurs (30) en tant que moyen pour la conversion photoélectrique.

7. Appareil suivant la revendication 4, caractérisé en ce que la dite tache laser a une forme allongée.

40 8. Appareil suivant la revendication 4, caractérisé en ce que le dit support solide est une lamelle de verre (11).

9. Appareil suivant la revendication 4, caractérisé en ce que le dit support solide est placé sur un porte-échantillon (8) en coopération avec un moyen de refroidissement et éventuellement une mince couche de matériau à base de silicium placée en sandwich entre le dit porte-échantillon et le dit support solide.

45

50

55

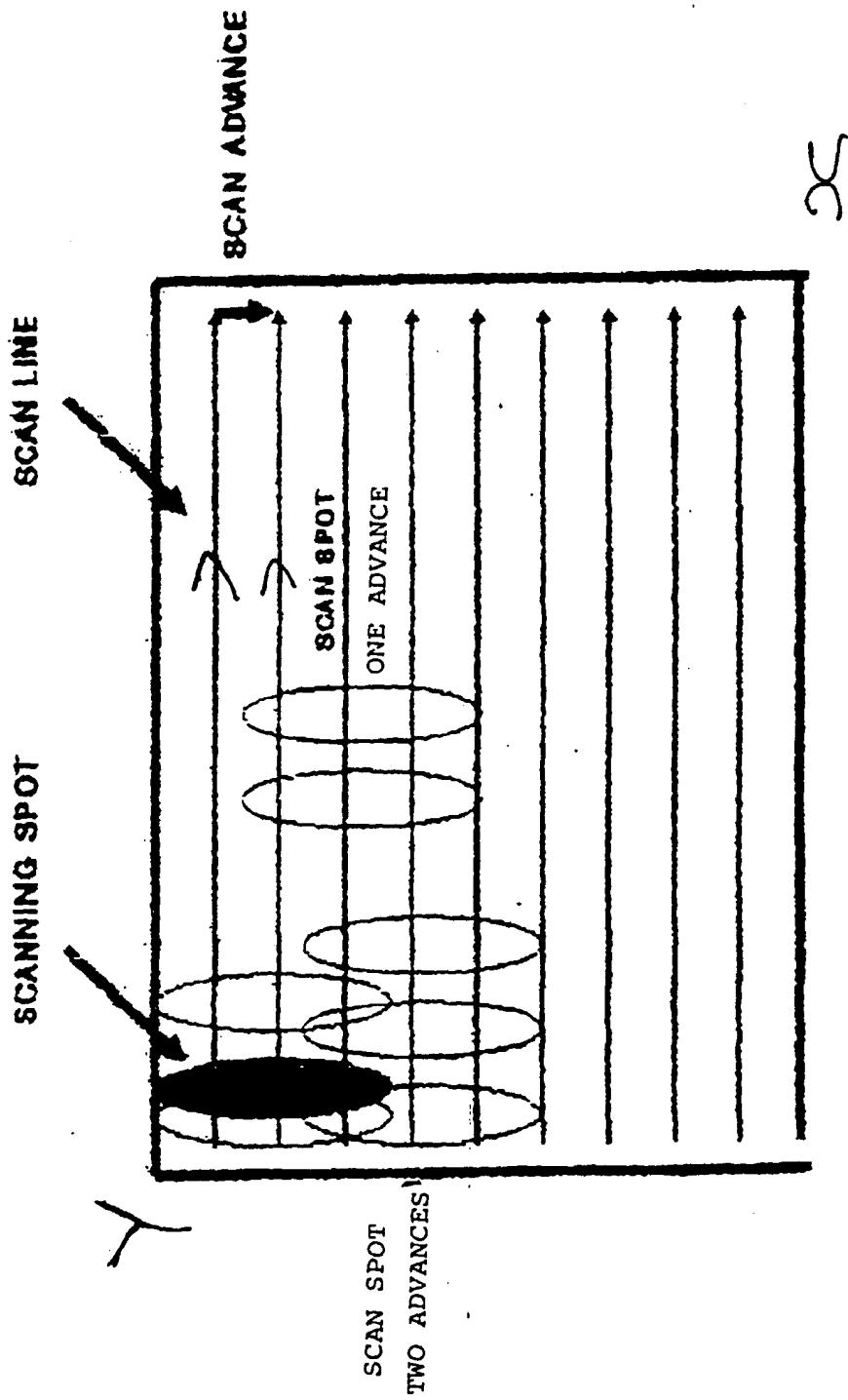


FIGURE 1

DEFINITION AND DIMENSIONS OF AN EVENT

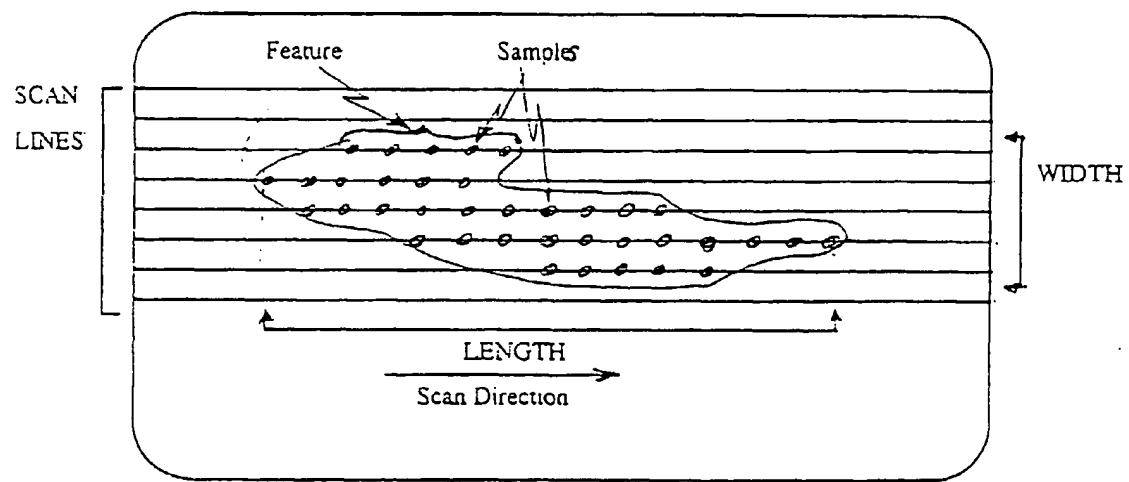


FIGURE 2

## DATA ANALYSIS SYSTEM 40 = 41 + 42 + 43

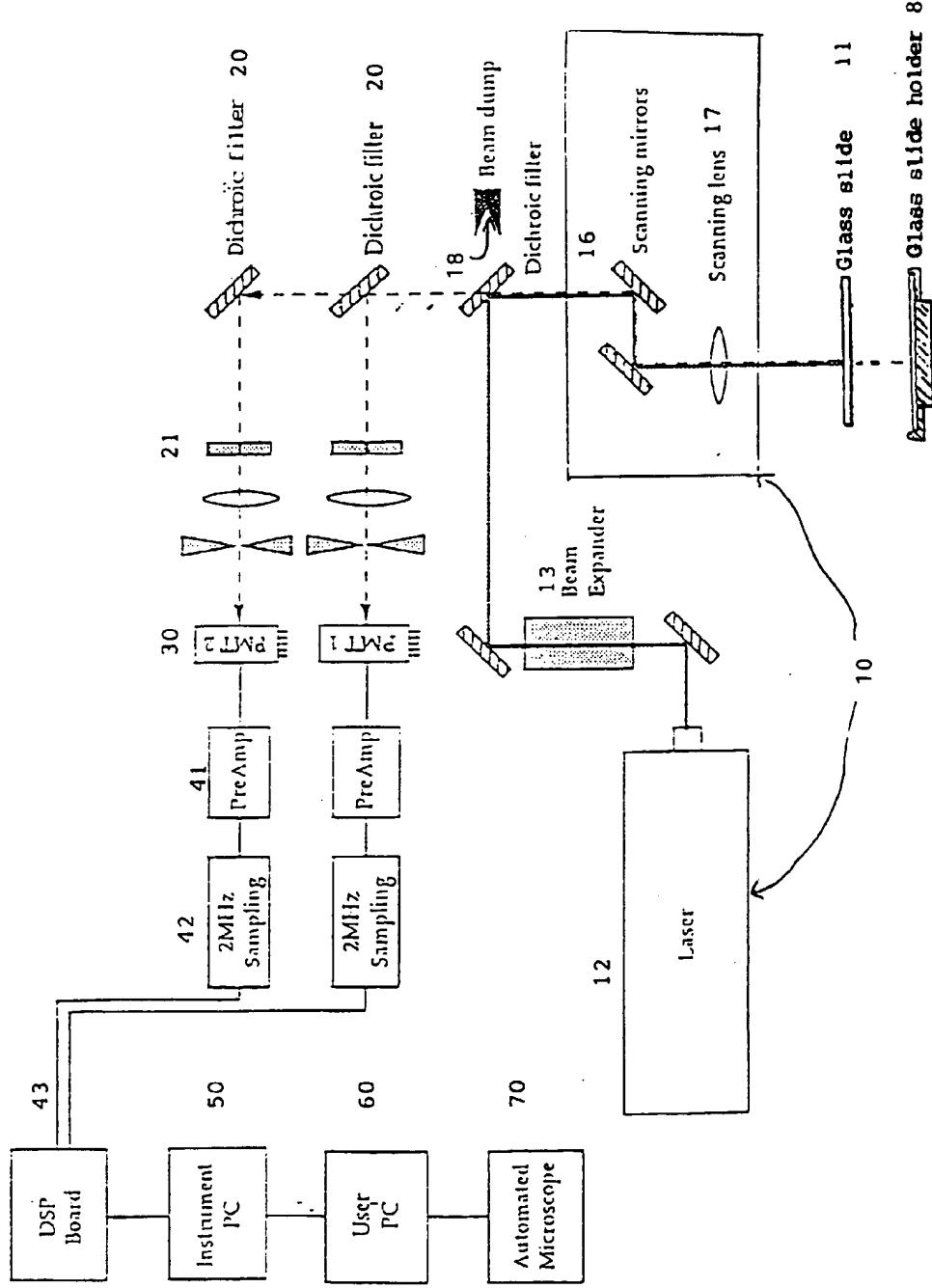


FIGURE 3

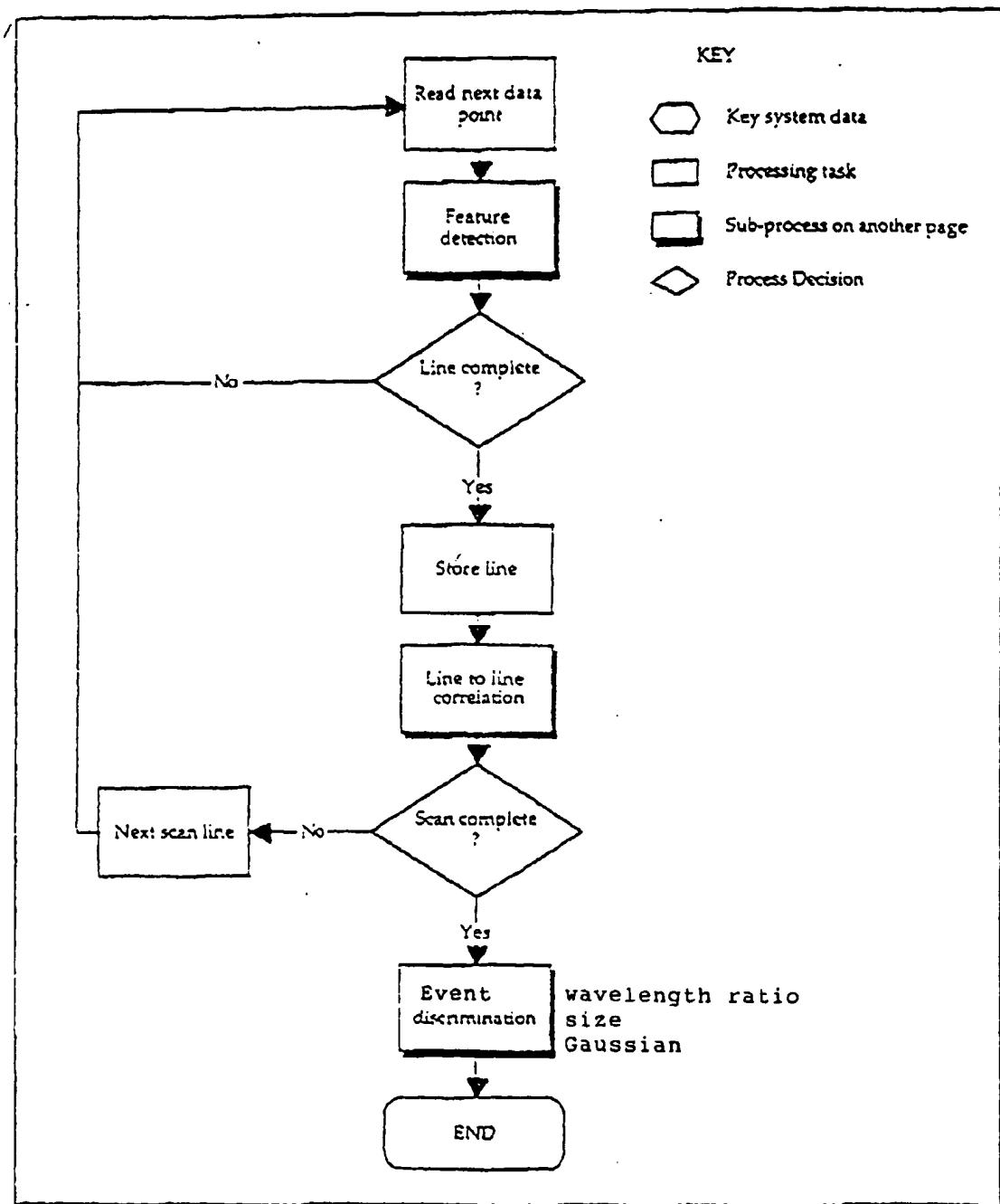


FIGURE 4  
TOP LEVEL CONTROL ALGORITHM

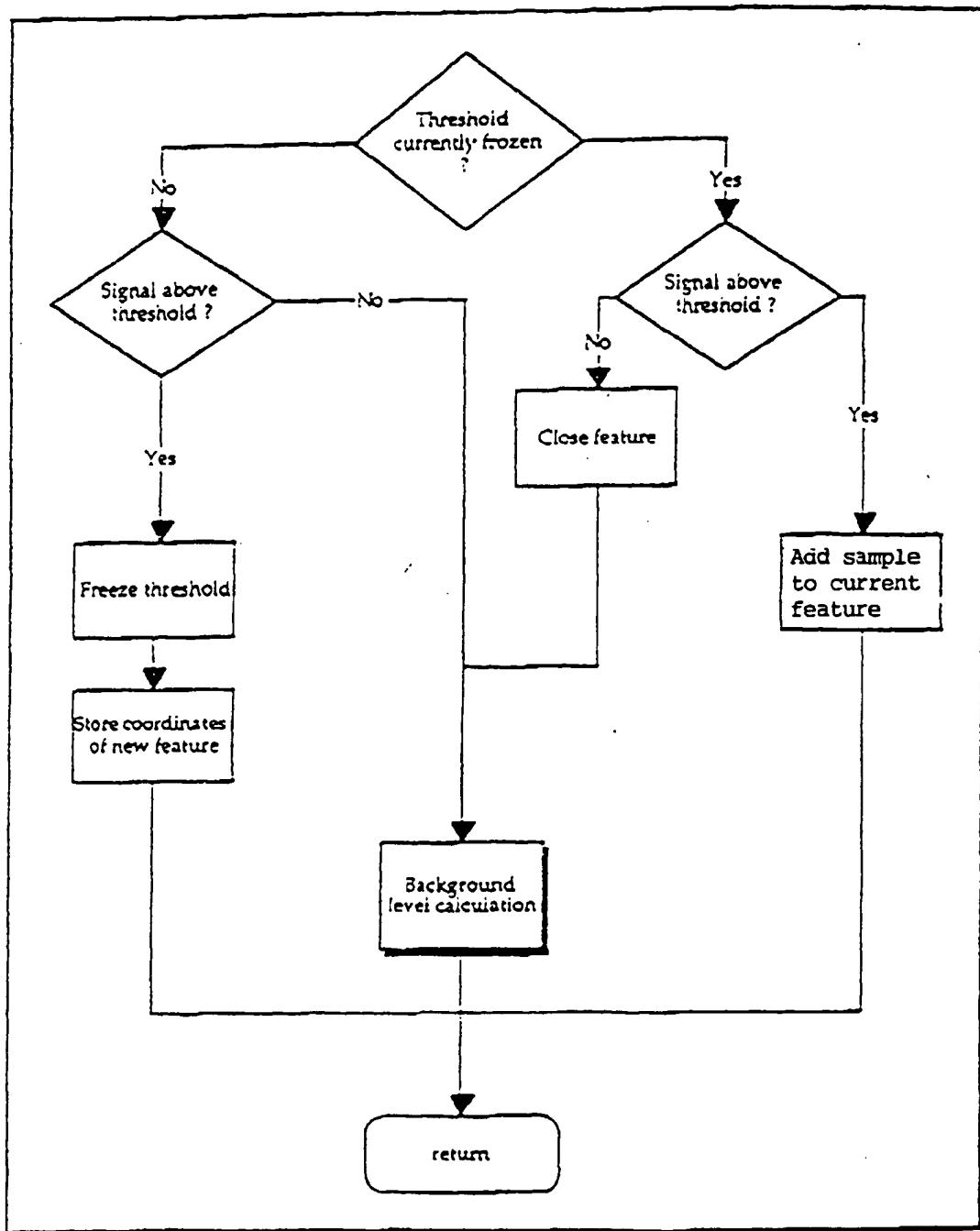


FIGURE 5  
FEATURE DETECTION

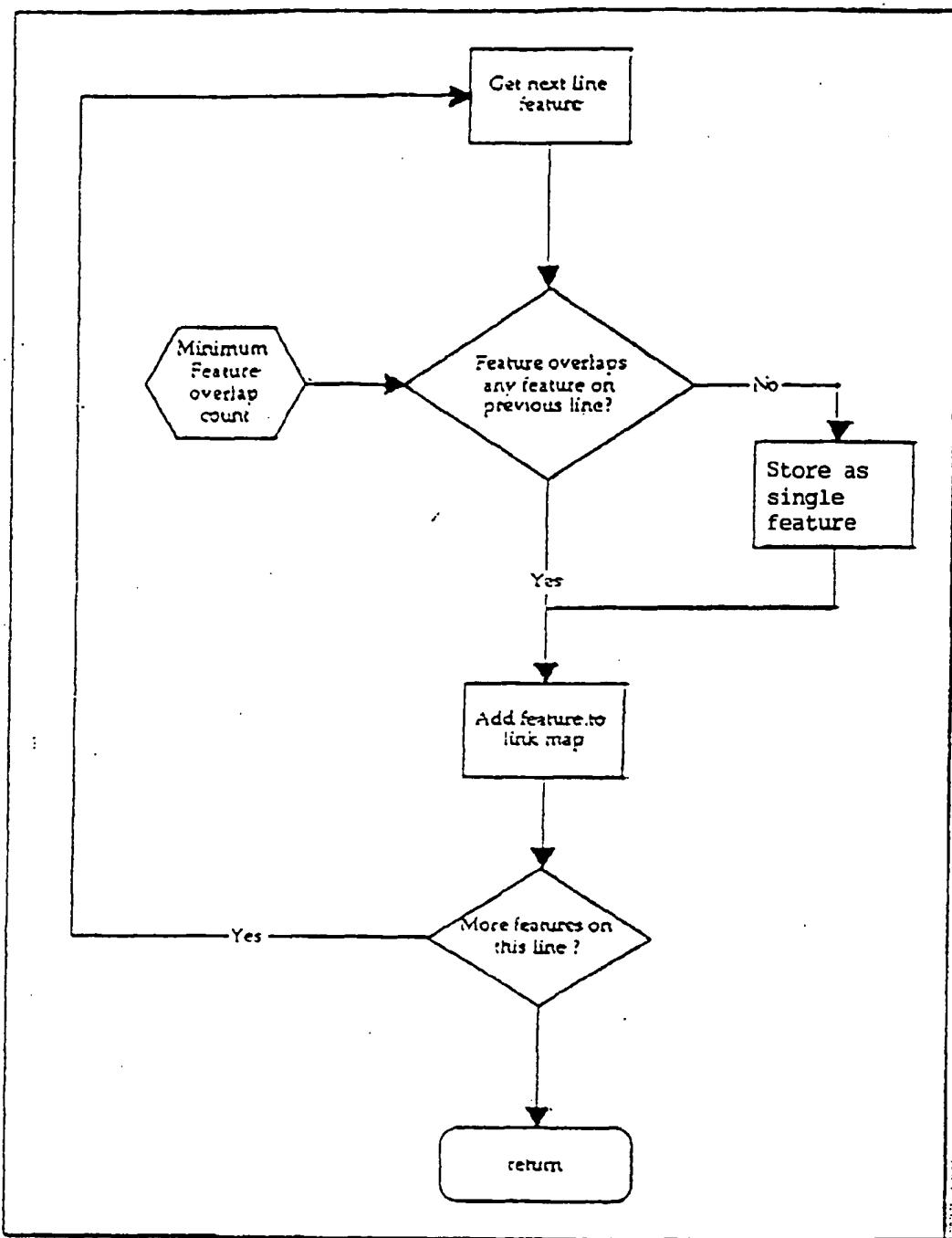


FIGURE 6

FEATURE CORRELATION

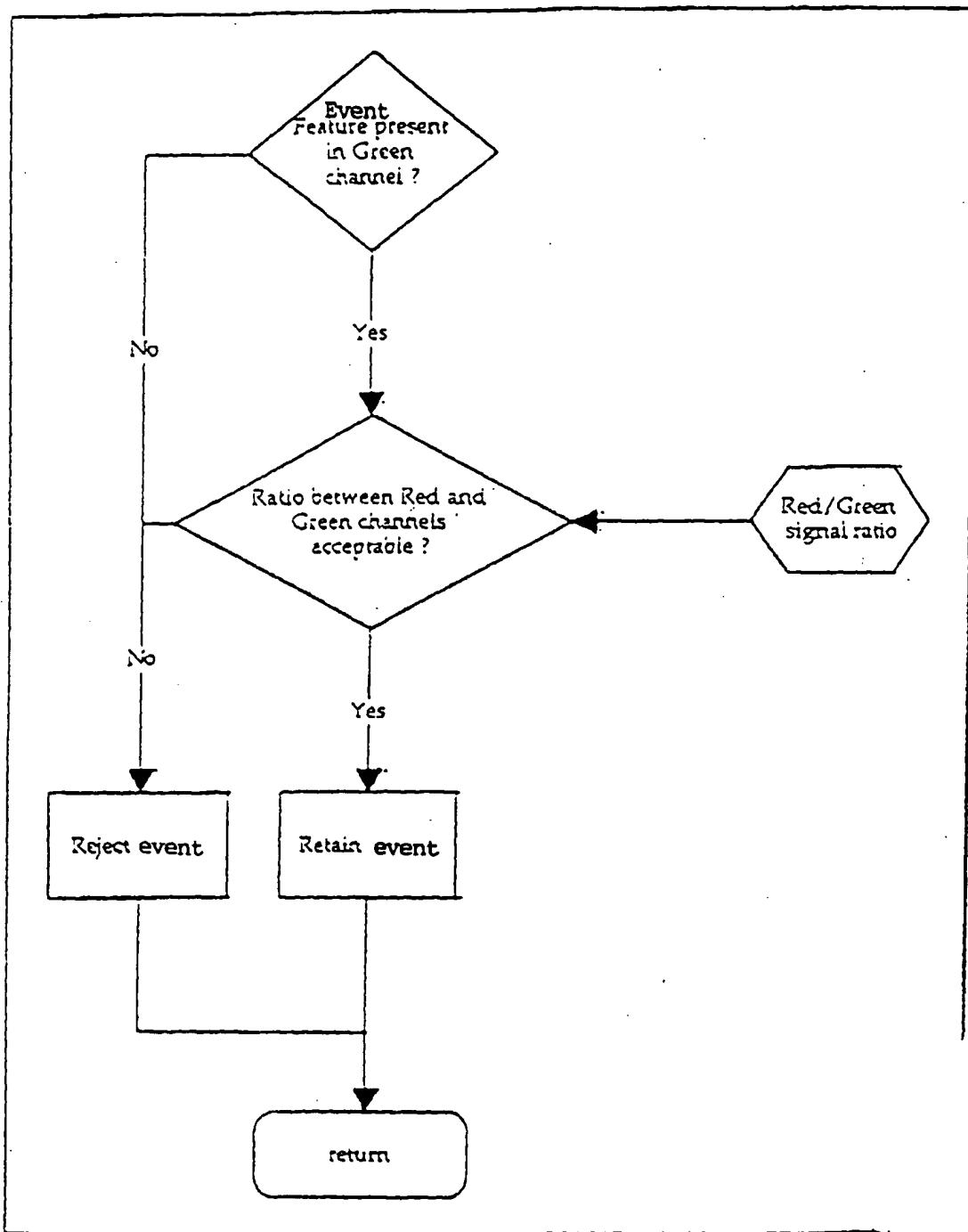


FIGURE 7  
COLOR RATIO WAVELENGTH DISCRIMINATION

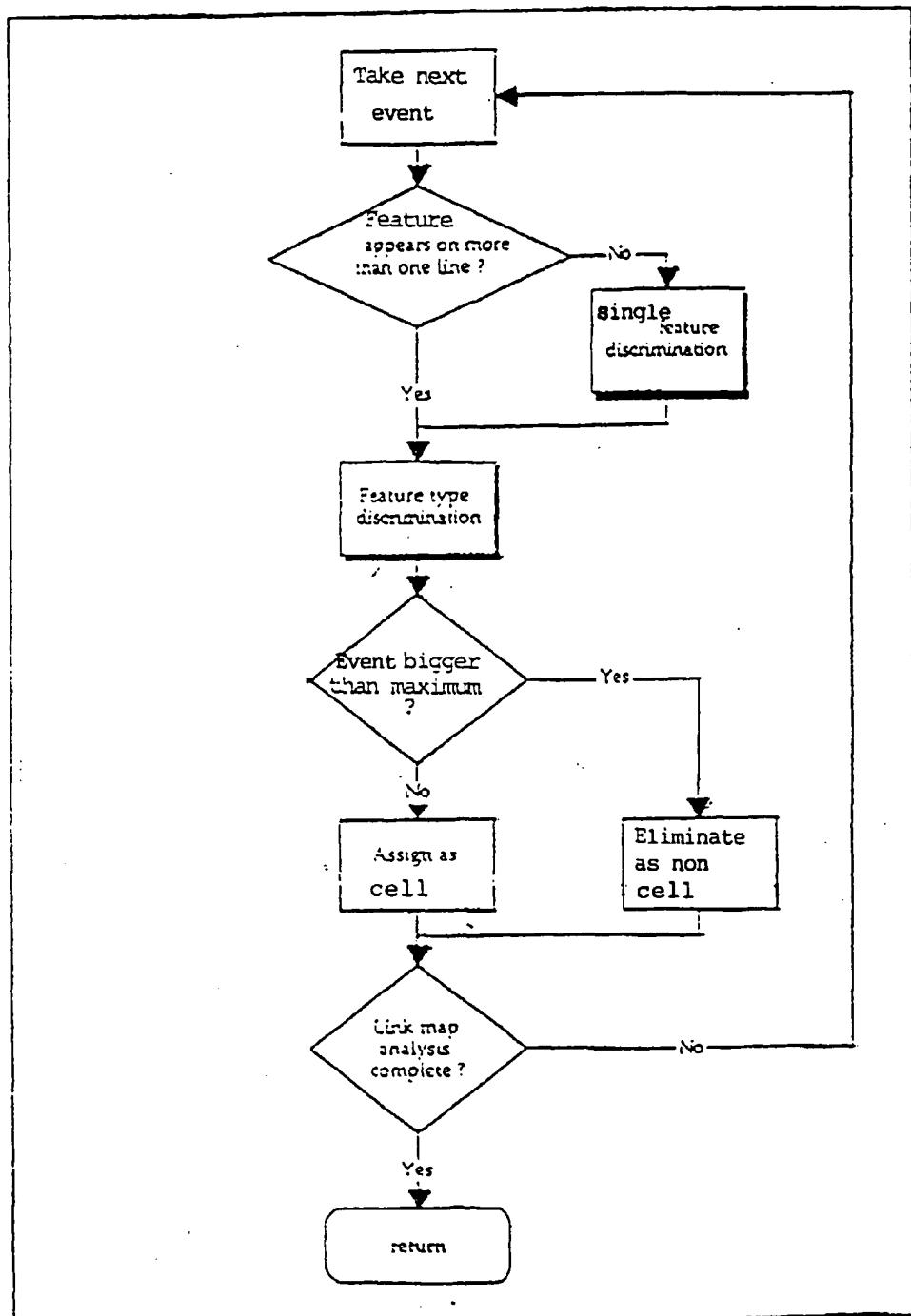


FIGURE 8  
EVENT SIZE DISCRIMINATION

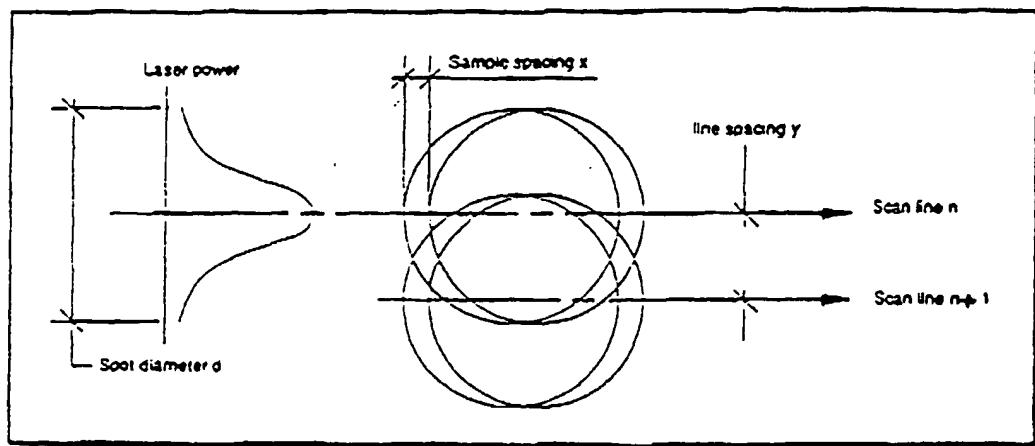


FIGURE 9

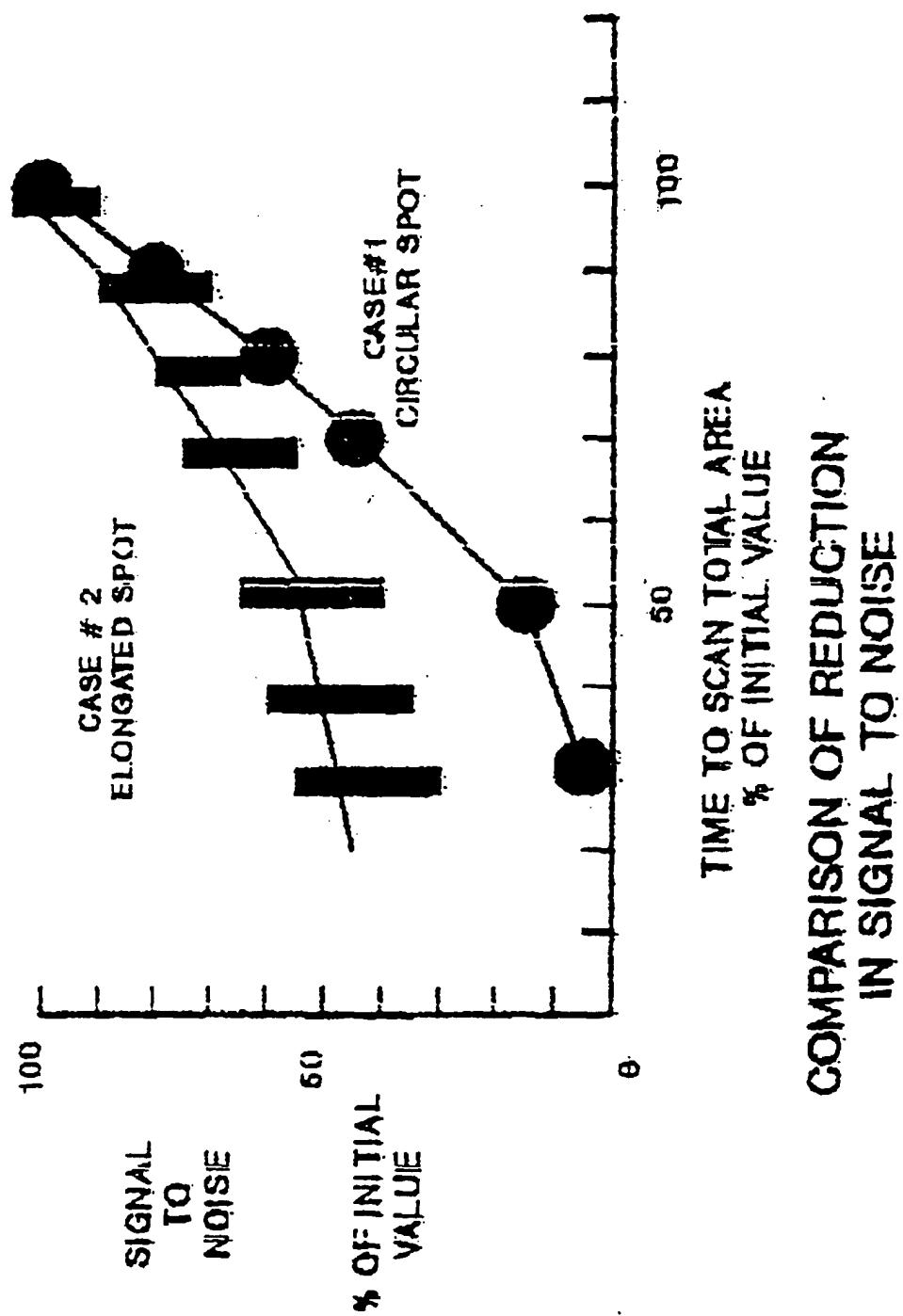


FIGURE 10

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Jeffrey N. Marcus      Group Art Unit: TBD  
Serial No.: 10/683,017      Examiner: TBD  
Filing Date: October 10, 2003      Docket No.: SPCH-103 (US)  
Title: RANDOM CONFIRMATION IN SPEECH BASED SYSTEMS

---

**CERTIFICATE OF FIRST CLASS MAILING**

I hereby certify that this paper (along with any referred to as being deposited) was deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Valerie M. Terriberry  
(Type or Print Name of Mailer)

Date: December 1, 2004

(Signature of Mailer)

---

**PETITION TO CORRECT RESPONSE TO NOTICE OF INCOMPLETE  
NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b)**

Mail Stop Petitions  
Office of Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This petition is submitted in response to the Decision on Petition mailed on November 19, 2004 in the above-identified application. The Decision states that the petition is dismissed and that no filing date have been accorded to the application. Applicant requests that the date of reconsideration in view of the following remarks.

The Commissioner is hereby authorized to charge the \$130.00 required with this paper and any additional required fee to Deposit Account 03-3975.

Respectfully submitted,  
PILLSBURY WINTHROP LLP  
Attorneys and Agents for Applicants

By:

---

Hans-Peter G. Hoffmann, Reg. No. 37,352  
1600 Tysons Boulevard  
McLean, Virginia 22102  
Tel. (203) 965-8271  
Fax. (203) 965-8226

Enclosures